



OUR COMMITTEES ARE AT THE HEART OF OUR MISSION  
to advance leading practices across all facets of the asset and wealth management industry.

- Dynamic communities representing key segments of the asset and wealth management industry.
- Meaningful opportunities to connect with peers and contribute to practical, industry-wide solutions.
- Open to all employees of Nicsa member firms.

#### TOPIC COMMITTEES

Compliance & Risk Management  
Data Analytics  
Digital Assets  
Fund Administration  
Fund & Investor Services  
Innovation & Technology Strategy  
International  
Next Gen  
Private Markets  
Product & Distribution  
Retirement  
SMA and Model Portfolio  
Transfer Agent Compliance  
Unit Investment Trusts (UIT)  
Unclaimed Property

#### EVENT COMMITTEES

Asset & Wealth Management Summit  
Strategic Leadership Forum

#### INCLUSION IN FINANCE

[Click to view.](#)

*\*Inclusion in Finance North America members only.*

#### GOVERNANCE COMMITTEES

Board of Directors  
Executive  
Audit  
Finance  
Nominating  
Content Steering  
Membership  
NOVA Awards  
Philanthropy

Contact Us to Volunteer.

## Nicsa Committee Descriptions

### TOPIC COMMITTEES:

Focused on the exchange of industry insights and networking opportunities.

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#### **Compliance & Risk Management Committee**

**Meeting Frequency:** Bimonthly

**Focus:** Impact and implementation of industry rules and regulations.

#### **Data Analytics Committee**

**Meeting Frequency:** Biweekly

**Focus:** Data analytics, sources, and optimization within asset and wealth management organizations.

#### **Digital Assets Committee**

**Meeting Frequency:** Monthly

**Focus:** The evolving role of digital assets and blockchain technologies within the asset and wealth management ecosystem.

#### **Fund Administration Committee**

**Meeting Frequency:** Quarterly

**Focus:** Emerging trends, regulatory developments, best practices, and operational challenges impacting fund administration.

#### **Fund & Investor Services Committee**

**Meeting Frequency:** Bimonthly

**Focus:** Transfer agent, operations, client service, and compliance trends.

#### **Innovation & Technology Strategy Committee**

**Meeting Frequency:** Monthly

**Focus:** Innovative approaches and best practices for technological and business challenges.

#### **International Committee**

**Meeting Frequency:** Monthly

**Focus:** Topics impacting participants in the international asset and wealth management industry.

#### **Next Gen Committee**

**Meeting Frequency:** Quarterly

**Focus:** Engagement of emerging leaders within the asset and wealth management industry, as well as best practices around mentorship and leadership development.

#### **Private Markets Committee**

**Meeting Frequency:** Monthly

**Focus:** Emerging trends and innovation, regulatory developments, operational and infrastructure challenges, best practices and industry standards, and cross-collaboration and education.

#### **Product & Distribution Committee**

**Meeting Frequency:** Quarterly

## Nicsa Committee Descriptions

**Focus:** Product trends and development, intermediary engagement, data and reporting, and leading research and best practices.

### **Retirement Committee**

**Meeting Frequency:** Monthly

**Focus:** Issues related to retirement funds and/or servicing retirement clients.

### **SMA and Model Portfolio Committee**

**Meeting Frequency:** Quarterly

**Focus:** SMA and model portfolio strategies, operational processing & implementation, contractual standards and relationship structures, industry-wide challenges and shared solutions, and best practices and emerging technologies.

### **Transfer Agent Compliance Committee**

**Meeting Frequency:** Monthly (Sep-Nov)

**Focus:** Editorial review of Nicsa's TA Compliance Guide (prepared with Deloitte).

### **Unit Investment Trust (UIT) Committee**

**Meeting Frequency:** Quarterly

**Focus:** Best practices, advisor education, and industry trends related to Unit Investment Trusts.

### **Unclaimed Property**

**Meeting Frequency:** Quarterly

**Focus:** Impact and implementation of unclaimed property rules, bill proposals, state requirements, and regulatory developments.

## EVENT COMMITTEES:

Focused on content development and speaker identification.

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### **Asset & Wealth Management Summit Committee**

**Meeting Frequency:** Weekly (January-November)

**Focus:** Content development and speaker identification for Nicsa's annual Summit typically held in Boston.

### **Strategic Leadership Forum Committee**

**Meeting Frequency:** Weekly (April-March)

**Focus:** Content development and speaker identification for Nicsa's annual SLF typically held in Florida.

# Nicsa Committee Descriptions

GOVERNANCE COMMITTEES:  
Focused on Nicsa operations.

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## **Board of Directors**

Executive Committee  
Audit Committee  
Finance Committee  
Nominating Committee

## **Content Steering Committee**

**Meeting Frequency:** Quarterly

**Focus:** Identifying industry themes to serve as pillars for Nicsa content strategy.

## **Membership Committee**

**Meeting Frequency:** Monthly

**Focus:** Best practice strategies to increase Nicsa membership, leverage benefits, and encourage engagement.

## **NOVA Awards Committee**

**Meeting Frequency:** Ad hoc

**Focus:** Nominee evaluation, awardee selection, and execution of Nicsa award ceremony.

## **Philanthropy Committee**

**Meeting Frequency:** Ad hoc

**Focus:** Charitable initiatives supported by the Association, typically held at Nicsa conferences and events. Aligning philanthropic activities with the organization's mission and values while maximizing community impact.

*Don't see a committee that is right for you? Do you feel that Nicsa has an opportunity to focus on additional themes relevant to the industry? Please [contact us](#) to suggest a new committee.*