



OUR COMMITTEES: at the heart of our mission

Over 20 committees representing vital segments of the asset and wealth management industry.

An excellent opportunity for employees of member firms, across business lines and levels of management, to participate in the development of industry solutions.

TOPIC COMMITTEES

- Alternative Investments
- Compliance & Risk Management
- Data Analytics
- Fund Administration
- Fund & Investor Services
- Innovation & Technology Strategy
- International
- Next Gen
- Product & Distribution
- Retirement
- SMA and Model Portfolio
- Transfer Agent Compliance Guide
- UIT
- Unclaimed Property

EVENTS & AWARDS COMMITTEES

- Asset & Wealth Management Summit
- NOVA Awards
- Strategic Leadership Forum

ADVISORY COMMITTEES

- Content Steering
- Membership
- Webinar

GOVERNANCE COMMITTEES

- Board of Directors
- Executive
 - Audit
 - Finance
 - Nominating

[VIEW DIVERSITY PROJECT COMMITTEES](#)

TO JOIN: contact Allison Walsh at awalsh@nicsa.org

TOPICS

Open to all member firm employees.

Engagement is focused on the exchange of timely insights and networking.

Alternative Investments Committee

Meeting Frequency: Monthly

Focus: Explore emerging trends and innovation, navigate regulatory developments, address operational and infrastructure challenges, promote best practices and industry standards, and foster cross-collaboration and education.

Compliance & Risk Management Committee

Meeting Frequency: Bi-Monthly

Focus: Impact and implementation of industry rules and regulations.

Data Analytics Committee

Meeting Frequency: Bi-weekly

Focus: Data analytics, data sources, and data optimization within asset and wealth management organizations.

Fund Administration Committee

Meeting Frequency: Quarterly

Focus: Identify and discuss emerging trends, regulatory developments, best practices, and operational challenges impacting fund administration.

Fund & Investor Services Committee

Meeting Frequency: Bi-Monthly

Focus: Transfer agent, operations, client service, and compliance trends.

Nicsa Committee Descriptions

Innovation & Technology Strategy Committee

Meeting Frequency: Monthly

Focus: Innovative approaches and best practices for technological and business challenges.

International Committee

Meeting Frequency: Monthly

Focus: Topics impacting participants in the international asset and wealth management industry.

Next Gen Committee

Meeting Frequency: Quarterly

Focus: Engagement of emerging leaders within the asset and wealth management industry, as well as best practices around mentorship and leadership development.

Product & Distribution Committee

Meeting Frequency: Quarterly

Focus: Address the full spectrum of product and distribution, including product trends and development, intermediary engagement, data and reporting, and leading research and best practices.

Retirement Committee

Meeting Frequency: Monthly

Focus: Issues related to retirement funds and/or servicing retirement clients.

SMA and Model Portfolio Committee

Meeting Frequency: Quarterly

Focus: Explore trends & developments in SMA and model portfolio strategies, addressing operational processing & implementation, defining contractual standards and relationship

Nicsa Committee Descriptions

structures, solving industry-wide challenges through shared solutions, and promoting best practices and emerging technologies.

Transfer Agent Compliance Guide Committee

Meeting Frequency: Weekly (September)

Focus: Editorial review of Nicsa's TA Guide (prepared with Deloitte).

UIT Committee

Meeting Frequency: Quarterly

Focus: Best practices, advisor education, and industry trends related to Unit Investment Trusts.

Unclaimed Property

Meeting Frequency: Quarterly

Focus: Impact and implementation of unclaimed property rules, bill proposals, state requirements, and regulatory developments.

EVENTS & AWARDS

Open to all member firm employees. Engagement is focused on content and speaker identification for Nicsa events.

Asset & Wealth Management Summit Committee

Meeting Frequency: Weekly (January-November)

Focus: Content development and speaker identification for Nicsa's annual Summit typically held in Boston.

Nicsa Committee Descriptions

NOVA Awards Committee

Meeting Frequency: Ad hoc

Focus: Nominee evaluation, awardee selection, and execution of Nicsa award ceremony.

Strategic Leadership Forum Committee

Meeting Frequency: Weekly (April-March)

Focus: Content development and speaker identification for Nicsa's annual SLF typically held in Florida.

ADVISORY

Open by invitation. Engagement is focused on Nicsa initiatives.

Content Steering Committee

Meeting Frequency: Quarterly

Focus: Identifying industry themes to serve as pillars for Nicsa content strategy.

Membership Committee

Meeting Frequency: Monthly

Focus: Best practice strategies to increase Nicsa membership, leverage benefits, and encourage engagement.

Webinar Working Group

Meeting Frequency: Monthly

Focus: Content development and speaker onboarding for Nicsa webinars.

GOVERNANCE

Open to Board of Directors only. Engagement is focused on Nicsa operations

Board of Directors

Executive Committee

Audit Committee

Finance Committee

Nominating Committee

Don't see a committee that is right for you or feel that Nicsa has an opportunity to focus on additional themes relevant to the industry? Please [contact us](#) to suggest a new committee.